

In order to continue to qualify for publication on ELANY’s list of E&S insurers, an insurer must maintain a minimum surplus to policyholders of \$49,000,000 and be licensed by its state of domicile to write the lines of business it seeks to write on a surplus lines basis in New York and provide to ELANY and the New York Department of Financial Services these documents.

In order to appear on ELANY’s published list of E&S Insurers, please e-mail the documents listed below to ELANY (asarfo@elany.org).

Should you need further assistance, please contact Alex Sarfo, Financial Director, at 646-292-5572 or asarfo@elany.org.

Filing Requirements	
Document	Description
1 Current license or Certificate of Authority	If there are changes to your original license , provide a copy of the most recent license indicating the lines of business currently authorized to write.
2 Statutory Financial Statement	Provide signed notarized copies of: 2024 annual financial statement (due March 17, 2025) 2025 quarterly financial statements (due within 45 days after the quarter close)
3 NAIC IRIS Test Results	A copy of the company’s 2024 NAIC IRIS Test Results, and where applicable, any responses by the company to the NAIC regarding unusual values.
4 Management Discussion and Analysis (MD&A)	A copy of the MD&A that accompanies the 2024 Annual Statutory Financial Statement.
5 Report on Examination and Market Conduct Report	A copy of the latest Report on Examination and Market Conduct Report, if applicable, performed by the domicile state.
6 Parent Company Financial Statement	A copy of the 2024 audited financial statement for the ultimate parent of the company. (Due July 10, 2025)
7 EL-1 Report	A report of N.Y. business bound during 2024 as required by Reg. 41 §27.14(a) . This document should be prominently stamped “Confidential – Proprietary Information not to be released to third parties.” The required EL-1 Report format is available on the Department of Financial Services’ website DFS EL-1 Portal . (To be submitted to DFS only through the DFS Portal)